

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application) PATENT APPLICATION
Inventor(s):	Cherisse M. Nicastro et al.)
SC/Serial No.:	10/020,552)
Filed:	October 30, 2001)
Title: BUSIN	IESS ASSET MANAGEMENT EM) (Customer No. 28554)

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if one name is listed below), first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

BUSINESS ASSET MANAGEMENT SYSTEM

	is filed herewith;
X	was filed with the above-identified "Filed" date and "SC/Serial No."
	was amended on (or amended through)

the specification of which (check applicable ones):

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of the application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(1) Full name of sole or first inventor: Cherisse M. Nicastro
(1) Residence: 10725 Del Rudini, Las Vegas, Nevada 89141
(1) Post Office Address: same as above
(1) Citizenship: U.S.A.
(1) Inventor's signature: West Jucas The
(1) Date: $\frac{123}{02}$

(2) Full name of second joint inventor: Thomas A. Wucherer
(2) Residence: 10249 Red Bridge Avenue, Las Vegas, Nevada 89134
(2) Post Office Address: same as above
(2) Citizenship: U.S.A.
(2) Inventor's signature:
(2) Date: -30 -2002

(3) Full name of third joint inventor: W. Todd Nisbet
(3) Residence: 1813 Cedar Flat Lane, Las Vegas, Nevada 89134
(3) Post Office Address: same as above
(3) Citizenship: <u>U.S.A.</u>
(3) Inventor's signature:
(3) Date:

(4) Full name of fourth joint inventor: Anthony A. Marnell II
(4) Residence: 7011 South Pecos Road, Las Vegas, Nevada 89120
(4) Post Office Address: same as above
(4) Citizenship: <u>U.S.A.</u>
(4) Inventor's signature: Sulling Allurett
(4) Date: $//28/02$

Title 37, Code of Federal Regulations, §1.56

SECTION 1.56. DUTY TO DISCLOSE INFORMATION MATERIAL TO PATENTABILITY

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98.* However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
 - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office; or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
 - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- * §§1.97(b)-(d) and 1.98 relate to the timing and manner in which information is to be submitted to the Office.

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	10/020,552	
Filing Date	October 30, 2001	
First Named Inventor	Cherisse M. Nicastro	
Group Art Unit	Unknown	
Examiner Name	Unknown	
Attorney Docket Number	TRIRG-01000US0	

I hereby appoint:					
Practitioners at Customer Number 28554					
OR			La	bel here	<u>•</u>
Practitioner(s) named below: 20334 Name Registration Number)'†	
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as my/our attorney(s)	or agent(s) to prosecute the application	identifi	ed above, and t	o trans	act all
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OR					
Firm or	Larry E. Vierra, Esq.			_ _	
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Address	685 Market Street, Suite 540	State	California	Zip	94105
City	San Francisco United States of America	SIBLE	1 Station Ho	ן בוט	
Country	United States of America (415) 369-9660	Ear	(415) 369-9665		
Telephone	(710) 003-3000	Fax (415) 369-9665			
I am the:					
Applicant/Inver	ntor.				
Assignee of re	cord of the entire interest. See 37 CFR	3.71.			
Statement und	er 37 CFR 3.73(b) is enclosed. (Form P	TO/SB	//96).		
	SIGNATURE of Applicant or Assig	nee of	Record		
Name I. S	Scott Bogatz				
Name	11/	,			
Signature	1 12.102				
Date NOTE: Signatures of all the inv	rentors or assignees of record of the entire interes	st or thei	r representative(s) a	are requi	ired. Submit multiple
forms if more than one signature	re is required, see below*.				<u> </u>
☑ *Total of	forms are submitted.				

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

JOINT TO CORPORATE ASSIGNMENT

WHEREAS, the undersigned Inventors:

- (1) Cherisse M. Nicastro, a resident of 10725 Del Rudini, Las Vegas, NV 89141; and
- (2) Thomas A. Wucherer, a resident of 10249 Red Bridge Avenue, Las Vegas, NV 89134; and
- (3) W. Todd Nisbet, a resident of 1813 Cedar Flat Lane, Las Vegas, NV 89134; and
- (4) Anthony A. Marnell II, a resident of 7011 South Pecos Road, Las Vegas, NV 89120; and
- (5) Anthony A. Marnell III, a resident of 2223 Vista Famosa Court, Las Vegas, NV 89123; and
- (6) Herman Spencer Jr., a resident of 6843 Vintage Highlands Lane, Las Vegas, NV 89110,

have invented certain new and useful improvements in:

BUSINESS ASSET MANAGEMENT SYSTEM

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

1. _ On the Date of Execution of Declaration for Patent Application set forth below adjacent to my signature;

Or

2. X Said application having SC/Serial Number 10/020,552 and filed on the 30th day of October, 20 01.

WHEREAS TRIRIGA, INC. (hereinafter termed "Assignee"), a corporation of the State of Nevada, having a place of business at 4285 S. Polaris Avenue, Las Vegas, State of Nevada (89103), wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by each of said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey to said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any or all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any

Page 1

application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

- 2. Said Inventors hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.
- 4. Said Inventors hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed this instrument on the date of acknowledgement before the Notary Public as given below and delivered this instrument to said Assignee:

	Cherisse M. Nicastro
State of NEVADA)
County of CLARK	XELL M SENIE PUBLIC
On 1/23/02	before me, CHERISSEA TROLLA.
normally appeared (HERISSE) normal	(name and title of officer) nally known to me (or proved to me on the basis of satisfactory evidence) to be
	o the within instrument and acknowledged to me that he/she/they executed the
* ','	nd that by his/her/their signature(s) on the instrument the person(s), or the entity
upon behalf of which the person(s) acted, exec	ated the instrument.

WITNESS my hand and official seal.

Date of Execution of Declaration for Patent Application:

KELLI M. SEDLIK Notary Public - Nevada No. 98-4941-1 My appt. exp. Oct. 8, 2002

Page 2

Attorney Docket No.: TRIRG-01000US0 /trirg/1000/assignment

Thomas A. Wucherer
State of NUGCC County of CLAVK On 1-30-02 before me, 1000 before me of title of officer) personally appeared 1000 personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. WITNESS my hand and official seal. Notary Public - State of Nevada COUNTY OF CLARK AK ZEBKO No 09-62579-1 My Appointment Expires Feb 29, 2004
Date of Execution of Declaration for Patent Application: (3) W. Todd Nisbet
County of Clark On January 30,2002 before me, Esin E: Heim gast nes Nobery Public (name and title of officer) personally appeared W. Todo Nishet, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. WITNESS my hand and official seal. WITNESS my hand and official seal. Signature A. Heimgart Signature A. Heimgart Signature A. Heimgart No. 55-0601-1

Date of Execution of Declaration for Patent Application:

Date of Execution of Declaration for Patent Application:
(4) Acthory A Marnell II
County of On 1/28/02 before me, Meredith C. Ellis Notary Public, Anthony A. (name and title of officer) personally appeared Marnet II personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. WITNESS my hand and official seal. Signature Muchael C. Ellis Notary Public State of Nevada COUNTY OF CLARK MEREDITH C. ELLIS No. 92-0275-1 My Appointment Expires February 29, 2004 **********************************
Date of Execution of Declaration for Patent Application: $1/33/2\omega^2$
(5) Authory Marrell III
State of NEVADA County of CLARK On 12302 before me, KELLIM SEXIK PUBLIC, (name and title of officer) personally appeared MAKNELI To personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. WITNESS my hand and official-seal.
Signature Jala and a second se

	Date of Execution of Declaration for Patent Application: 0//23/02
	(6)
	Herman Spenger Jr.
State o	f NEVADA
County	
	On 1/23/02 before me, KCUIM-SEDUK, PUBLIC, (name and title of officer)
person	(name and title of officer) ally appearedSPECIEE, personally known to me (or proved to me on the basis of satisfactory evidence) to be
the per	son(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity
	ehalf of which the person(s) acted, executed the instrument.
WITN	ESS my hand and official seal.
_	5.5011
Signati	KELLI M. SEDLIK
	No. 98-4941-1
	My appt. exp. Oct. 8, 2002